

Global optimization of silicon photovoltaic cell front coatings

Michael Ghebrebrhan, Peter Bermel, Yehuda Avniel, John D. Joannopoulos, Steven G. Johnson

Department of Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts, 02139, USA

mghebre@mit.edu

Abstract: The front-coating (FC) of a solar cell controls its efficiency, determining admission of light into the absorbing material and potentially trapping light to enhance thin absorbers. Single-layer FC designs are well known, especially for thick absorbers where their only purpose is to reduce reflections. Multilayer FCs could improve performance, but require global optimization to design. For narrow bandwidths, one can always achieve nearly 100% absorption. For the entire solar bandwidth, however, a second FC layer improves performance by 6.1% for 256 μm wafer-based cells, or by 3.6% for 2 μm thin-film cells, while additional layers yield rapidly diminishing returns.

© 2009 Optical Society of America

OCIS codes: (230.5298) Photonic crystals; (350.6050) Solar energy.

References and links

1. J. Zhao, A. Wang, M. Green, and F. Ferrazza, "Novel 19.8% efficient 'honeycomb' textured multicrystalline and 24.4% monocrystalline silicon solar cells," *Appl. Phys. Lett.* **73**, 1991–1993 (1998).
2. M. A. Contreras, B. Egaas, K. Ramanathan, J. Hiltner, A. Swartzlander, F. Hasoon, and R. Noufi, "Progress Toward 20% Efficiency in Cu(In,Ga)Se₂ Polycrystalline Thin-film Solar Cells," *Prog. Photovolt: Res. Appl.* **7**, 311–316 (1999).
3. G. Was, V. Rotberg, D. Platts, and J. Bomback, "Optical properties of Ti and N implanted soda lime glass," *Appl. Phys. Lett.* **66**, 142–144 (1995).
4. C. Herzinger, B. Johs, W. McGahan, J. Woollam, and W. Paulson, "Ellipsometric determination of optical constants for silicon and thermally grown silicon dioxide via a multi-sample, multi-wavelength, multi-angle investigation," *J. Appl. Phys.* **83**, 3323–3336 (1998).
5. S. Chaudhuri, D. Bhattacharyya, A. Maity, and A. Pal, "Surface coatings for solar application," *Mater. Sci. Forum* **246**, 181–206 (1997).
6. C. Lampert, "International development and advances in solar selective absorbers," *Proc. SPIE* **3138**, 134–145 (1997).
7. A. Chandra and M. Mishra, "Solar absorption behavior of multilayer stacks," *Energ. Convers. Manage.* **25**, 387–390 (1985).
8. O. Abreu and G. Best, "Transmission, reflexion and absorption of visible radiation by the multiple covers of flat plate solar collectors," *Sol. Energy Mater.* **3**, 371–380 (1980).
9. L. DeSandre, D. Song, H. MacLeod, M. Jacobson, and D. Osborn, "Thin-film multilayer filter designs for hybrid solar energy conversion systems," *Proc. SPIE* **562**, 155–159 (1985).
10. J. Schoen and E. Bucher, "Computer modeling of the performance of some metal/dielectric multilayers for high-temperature solar selective absorbers," *Sol. Energy Mater. Sol. Cells* **43**, 59–65 (1996).
11. M. Farooq and M. Hutchins, "A novel design in composites of various materials for solar selective coatings," *Sol. Energ. Mater. Sol. Cells* **71**, 523–535 (2002).
12. J. Sukmanowski, C. Paulick, O. Sohr, K. Andert, and F. Royer, "Light absorption enhancement in thin silicon layers," *J. Appl. Phys.* **88**, 2484–2489 (2000).
13. J. Hanak, V. Korsun, and J. Pellicane, "Optimization studies of materials in hydrogenated amorphous silicon solar cells," No. 2nd in E.C. Photovoltaic Sol. Energ. Conf., pp. 270–277 (1979).

14. M. Kuo, D. J. Poxson, Y. S. Kim, F. W. Mont, J. K. Kim, E. F. Schubert, and S. Lin, "Realization of a near-perfect antireflection coating for silicon solar energy utilization," *Opt. Lett.* **33**, 2527–2529 (2008).
15. ASTM G173-03, *Standard Tables for Reference Solar Spectral Irradiances: Direct Normal and Hemispherical on 37 degree Tilted Surface* (ASTM International, West Conshohocken, Pennsylvania, 2005).
16. P. Nubile, "Analytical design of antireflection coatings for silicon photovoltaic devices," *Thin Solid Films* **342**, 257–261 (1999).
17. J. Zhao and M. Green, "Optimized Antireflection Coatings for High-Efficiency Silicon Solar Cells," *IEEE Trans. Electron Dev.* **38**, 1925 (1991).
18. F. Zhu, P. Jennings, J. Cornish, G. Hefter, and K. Luczak, "Optimal optical design of thin-film photovoltaic devices," *Sol. Energ. Mat. Sol. Cells* **49**, 163–169 (1997).
19. M. Cid, N. Stem, C. Brunetti, A. Beloto, and C. Ramos, "Improvements in anti-reflection coatings for high-efficiency silicon solar cells," *Surf. Coat Technol.* **106**, 117–120 (1998).
20. H. Nagel, A. G. Aberle, and R. Hezel, "Optimised Antireflection Coatings for Planar Silicon Solar Cells using Remote PECVD Silicon Nitride and Porous Silicon Dioxide," *Prog. Photovoltaics: Res. Appl.* **7**, 245–260 (1999).
21. B. Thornton and Q. Tran, "Optimum design of wideband selective absorbers with provision for specified included layers," *Sol. Energy* **20**, 371–377 (1978).
22. C. Carniglia and J. Apfel, "Maximum reflectance of multilayer dielectric mirrors in the presence of slight absorption," *J. Opt. Soc. Am.* **70**, 523–534 (1980).
23. D. Gibson and P. Lissberger, "Use of the concept of equivalent layers in the design of multilayer dielectric reflectors with minimum absorption," *Optica Acta* **27**, 1295–1299 (1980).
24. R. Brendel, *Thin-Film Crystalline Silicon Solar Cells* (Wiley-VCH, Weinheim, Germany, 2003).
25. B. Richards, "Single-material TiO₂ double-layer antireflection coatings," *Sol. Energy Mat. Sol. Cells* **79**, 369–390 (2003).
26. A. Mahdjoub and L. Zighed, "New designs for graded refractive index antireflection coatings," *Thin Solid Films* **478**, 299–304 (2005).
27. J. Zhao, A. Wang, P. Campbell, and M. A. Green, "A 19.8% Efficient Honeycomb Multicrystalline Silicon Solar Cell with Improved Light Trapping," *IEEE Trans. Electron Dev.* **46**, 1978–1983 (1999).
28. M. Lipinski, P. Zieba, S. Kluska, M. Sokolowski, and H. Czernastek, "Optimization of SiN_x:H layer for multicrystalline silicon solar cells," *Opto-Electron. Rev.* **12**, 41–44 (2004).
29. M. Agrawal and P. Peumans, "Broadband optical absorption enhancement through coherent light trapping in thin-film photovoltaic cells," *Opt. Express* **16**, 5385–5396 (2008).
30. L. Li, "Formulation and comparison of two recursive matrix algorithms for modeling layered diffraction gratings," *J. Opt. Soc. Am. A* **13**, 1024–1035 (1996).
31. D. Whittaker and I. Culshaw, "Scattering-matrix treatment of patterned multilayer photonic structures," *Phys. Rev. B* **60**, 2610–2618 (1999).
32. K. S. Yee, "Numerical solution of initial boundary value problems involving Maxwell's equations in isotropic media," *IEEE Trans. Antennas Propag.* **AP-14**, 302–307 (1966).
33. J. Berenger, "A perfectly matched layer for the absorption of electromagnetic waves," *J. Comp. Phys.* **114**, 185–200 (1994).
34. P. Bermel, C. Luo, L. Zeng, L. Kimerling, and J. D. Joannopoulos, "Improving thin-film crystalline silicon solar cell efficiencies with photonic crystals," *Opt. Express* **15**, 16,986–17,000 (2007).
35. W. H. Press, S. A. Teukolsky, W. T. Vetterling, and B. P. Flannery, *Numerical Recipes, The Art of Scientific Computing* (Cambridge University Press, New York, 2007).
36. A. H. G. R. Kan and G. T. Timmer, "Stochastic global optimization methods," *Math. Program.* **39**, 27–78 (1987).
37. J. Nocedal, "Updating quasi-Newton matrices with limited storage," *Math. Comput.* **35**, 773–782 (1980).
38. L. Luksan, "PLIS.FOR," Limited-memory BFGS method based on vector recurrences for large-scale unconstrained and box constrained minimization, URL <http://www.uivt.cas.cz/luksan/subroutines.html>.
39. S. Kucherenko and Y. Sytsko, "Application of deterministic low-discrepancy sequences in global optimization," *Computational Optimization and Applications* **30**, 297–318 (2005).
40. P. Bratley and B. L. Fox, "Algorithm 659: Implementing Sobol's quasirandom sequence generator," *ACM Trans. Math. Soft.* **14**, 88–100 (1988).
41. S. Joe and F. Y. Kuo, "Remark on algorithm 659: Implementing Sobol's quasirandom sequence generator," *ACM Trans. Math. Soft.* **29**, 49–57 (2003).
42. J. M. Gablonsky and C. T. Kelley, "A locally-biased form of the DIRECT algorithm," *J. Global Optim.* **21**(1), 27–37 (2001).
43. S. G. Johnson. URL <http://ab-initio.mit.edu/nlopt>.
44. G. Strang, *Computational Science and Engineering* (Wellesley-Cambridge Press, Wellesley, MA, 2007).
45. Y. Pirogov and A. Tikhonravov, "Resonance absorption of wave energy in asymmetrical multilayer structures," *Radioelektronika* **21**, 15–20 (1978).
46. J. D. Joannopoulos, S. G. Johnson, J. N. Winn, and R. D. Meade, *Photonic Crystals: Molding the Flow of Light*, 2nd ed. (Princeton, Princeton, NJ, 2008).
47. J. Jackson, *Classical Electrodynamics* (Wiley, New York, 1999).

48. S. A. Campbell, *Fabrication Engineering at the Micro- and Nanoscale* (Oxford University Press, USA, 2008).
49. W. Shockley and H. Queisser, "Detailed balance limit of efficiency of p-n junction solar cells," *J. Appl. Phys.* **32**, 510 (1961).
-

1. Introduction

Front coatings are a critical feature of the highest-efficiency photovoltaic cells, ranging from monocrystalline silicon cells with double-layer anti-reflection (AR) coatings [1] to thin-film CIGS cells with single-layer AR coatings [2]. The most effective front coatings allow light over a broad range of wavelengths to enter the cell and be absorbed. This broad range of wavelengths extends from long-wave ultraviolet (around 300 nm [3]) to the band gap wavelength (for silicon, 1108 nm [4]). While single-layer front coating designs are well known, multiple layers could conceivably allow higher admittance over a broader bandwidth. Thus, the problem of optimizing multilayer coatings for solar cells has been a topic of great interest for some time [5–14], but the full range of possible designs had not been explored, especially for thin absorbers and/or multiple coatings. Here, we attack this problem with global optimization to find the best possible multilayer film designs in several regimes and quantify the degree of improvement which can be achieved in each case. We show that two front coatings produce the majority of improvement; additional front coatings yield rapidly diminishing improvements.

For the most common type of solar cell, made from silicon wafers, the front-coating design problem mostly reduces to a broad-band anti-reflection problem with dispersion. However, due to the dispersion of silicon [4] and the non-uniformity of the AM1.5 solar spectrum [15], this problem can only be solved approximately with an analytical approach [16]. More precise solutions require a numerical approach—Refs. 17–19 treat the cases of single-layer and double-layer AR coatings; Ref. 20 treats single- through triple-layer AR coatings. Similar approaches have been taken for other related wide-band absorption problems, as in Refs. 21–23. Some authors have even expanded this problem to include long wavelengths beyond the bandgap of silicon [14].

On the other hand, emerging thin-film solar cell technology presents an entirely different challenge for front coating design. First of all, reflections from the front and back interfere over a broad range of wavelengths. Furthermore, unlike a narrow bandwidth problem, where the principles of Q -matching resonant absorption (also known as "impedance-matching") can be applied, the portion of the solar spectrum considered is broad-bandwidth, 800 nm to 1100 nm. Moreover the absorption length over the bandwidth is typically greater than the physical optical path length [24]. As a consequence, even light that initially passes through the front coating often reflects back out through the front without being absorbed—an effect not accounted for in Refs. 17, 19, 20, 25, and 26. And front coatings must not only allow light to enter the silicon cell, but must also trap it to be absorbed. This increases the complexity of the problem while diminishing the accuracy of any analytical approximations. This was examined through ray tracing on a non-systematic basis for thick multicrystalline wafer-based cells [27, 28]. Some recent work on the opposite limit of extremely thin (15 nm) organic cells has demonstrated 40% boosts in relative efficiency [29] with appropriately designed front coatings. Also, the application of multiple reflections to ultra-thin (5–55 nm) amorphous silicon has been discussed [12].

In this manuscript, we consider the behavior of the cell designs depicted in Fig. 1, a photovoltaically active silicon region backed by a perfectly reflecting metal region with a varying number of dielectric coatings added to the front and back. We show that as long as the back coating consists of low-loss planar layers, its specific design will have negligible impact on the wide-bandwidth problem, though it will have a large impact on the narrow-bandwidth problem. The thicknesses and indices of each front coating are chosen by an exhaustive global-optimization procedure to maximize the short-circuit current of each cell.

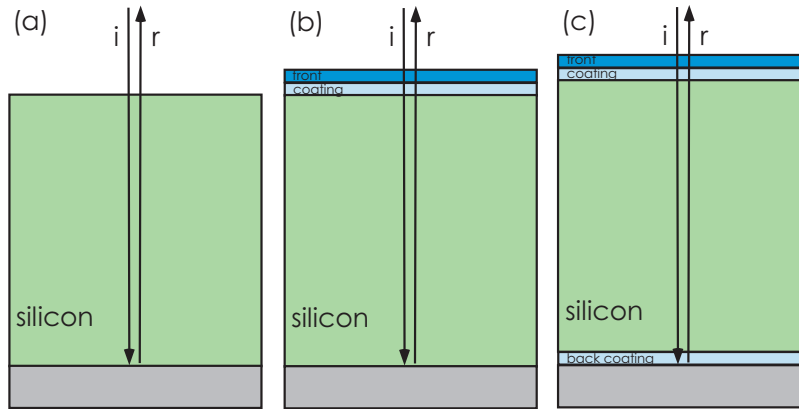


Fig. 1. (Color online) Schematic illustration of solar cell designs studied in this paper: (a) a photovoltaically active silicon region (green), backed by a perfectly reflecting metal (gray), (b) diagram (a) with one or more front coating depicted in shades of blue, and (c) diagram (b) with one back dielectric coating layer.

2. Formulation of the problem

The key factor that enables the use of global optimization to exhaustively search the parameter space of possible front-coating films is the availability of extremely efficient algorithms to model the optical properties of multilayer films. In particular, the light-trapping properties of the structures discussed in this paper are studied using a transfer-matrix approach known as the S-matrix method [30, 31]. The structure is broken up into homogeneous slabs of chosen thicknesses, boundary conditions are imposed at the interfaces, and fields are propagated throughout the structure. The boundary conditions employed in this work correspond to light normally incident from above the solar cell. Light absorption is calculated by modeling the c-Si regions with a complex refractive index that depends on wavelength, as in Ref. 4. The c-Si region is treated as if it is only intrinsic, i.e., the doping of the *p*- and *n*-doped regions can be considered to have a negligible impact on the optical properties of the device. Since most dielectric materials have very large band gaps, the dispersion and absorption of the front- and back-coatings is assumed to be negligible over the range of wavelengths considered in this work [17]. The metal region (back-reflector) is modeled as a frequency independent, negative permittivity (lossless) medium (as shown later, the exact details of the back-reflector have almost no impact on the optimal front coating design for the full solar bandwidth problem). In principle, the calculation of the model's optical properties is exact apart from these approximations. Verification has been performed for several structures using the finite-difference time-domain method [32] with perfectly-matched boundary layers [33]. The results are in good agreement, but the FDTD method is much slower for the same level of accuracy, so it is not used for most calculations.

In order to calculate the efficiency of the light capture of our model we assume that each absorbed photon with energy greater than the band gap energy generates an electron-hole pair, and both carriers reach the electrical contacts. This corresponds to the statement that the diffusion length L_D is much greater than the distance traveled by each carrier (i.e., $L_D \gg d$), a reasonable assumption for thin Si films with high mobilities.

The optimized quantity is the generated short-circuit current J_{sc} , given by [34]:

$$J_{sc} = \int d\lambda \left[\frac{e\lambda}{hc} \frac{dI}{d\lambda} A(\lambda) \right] \equiv \int d\lambda w(\lambda) A(\lambda) \quad (1)$$

where $\frac{dI}{d\lambda}$ represents the light intensity experienced by the solar cell per unit wavelength (given by the ASTM AM1.5 solar spectrum [15]), and $A(\lambda)$ is the absorption calculated above. (The integration was carried out by a 1000-point trapezoidal rule.) The coefficients $w(\lambda)$ capture the relative importance of absorption at each wavelength, and will be referred to as “current weights” for short. This allows us to define a figure of merit (FOM) by:

$$\text{FOM} = \frac{\int d\lambda w(\lambda)A(\lambda)}{\int d\lambda w(\lambda)} \quad (2)$$

which is proportional to the objective function we wish to maximize. It gives us a measure of the absorbing efficiency of the structure weighted for the solar-cell application; perfect absorption at all wavelengths within the range of silicon’s absorption (300 nm to 1108 nm) yields $\text{FOM} = 1$.

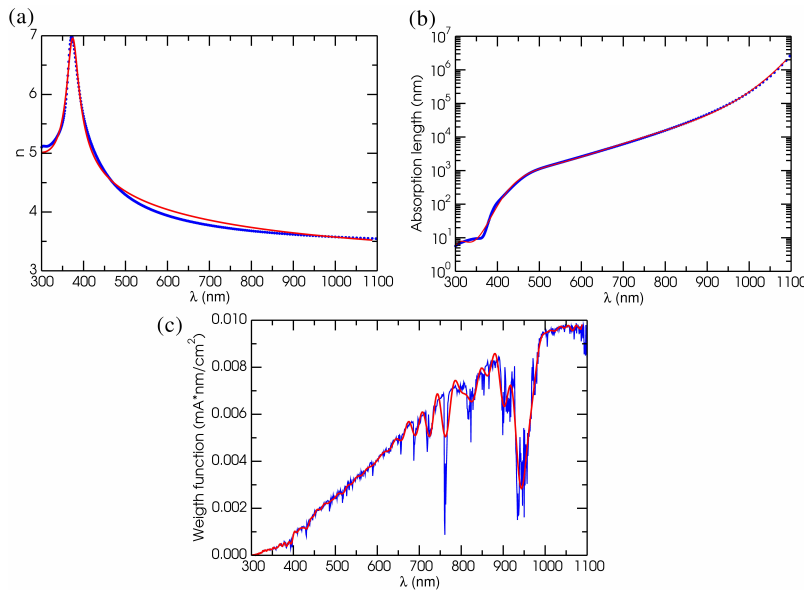


Fig. 2. (Color online) Fits of calculation parameters (red curves) to actual data derived from references (blue curves): (a) real index of crystalline silicon [4] (b) absorption length of crystalline silicon [4] (c) current weights $w(\lambda)$ in Eq. (1), fit with a degree-100 Chebyshev approximation [35].

Important spectra factoring into the J_{sc} calculation are displayed in Fig. 2: the real indices and absorption lengths of silicon, and current weights $w(\lambda)$. In each plot, the experimental data is shown in blue, while the smooth fit used to calculate the results in the following section is shown in red. For the case of the real index of silicon, a Lorentzian plus a polynomial fits the data well. Since the absorption length grows exponentially with wavelength, a polynomial fit was made to the logarithm of the imaginary part of the index. Finally, the current weighting function $w(\lambda)$ in Eq. (1), which is based on the AM1.5 solar spectrum [15], was fit with a degree-100 Chebyshev approximation, which displays more stability than its standard polynomial counterpart [35].

For the calculations, the front coating thicknesses were bounded by 0 and 700 nm and the indices by 1 and 5. The range of thicknesses includes the largest quarter-wave thickness in the lowest index material; the range of indices includes most materials that can be easily fabricated as a front coating. However, as discussed at the end of Section 3, restricting the range of indices

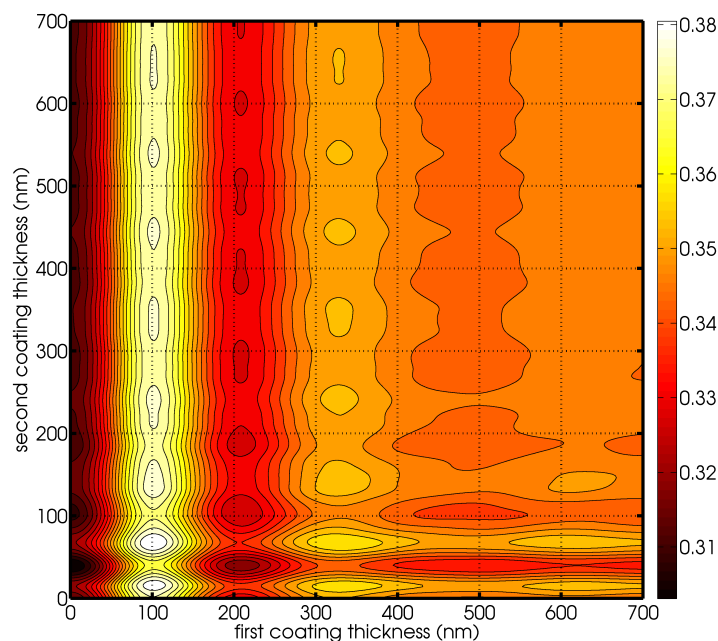


Fig. 3. (Color online) A contour plot of the FOM of a cell with two front-coating layers versus the layer thickness (the indices of each layer are fixed at 1.27 and 4.35). The FOM ranges from 0.30 (black) to 0.38 (white). Clearly there are many local optima, necessitating a global optimization approach.

to a narrower range, or even fixing the indices entirely to the values for selected materials and optimizing only over the thicknesses, yields a FOM only slightly worse than when a wide range of indices is explored.

In general, this problem may have many local optima, especially as the range and number of parameters is increased. This is illustrated in Fig. 3, which plots the FOM as a function of two of the four parameters (two coating thicknesses) and exhibits many local optima. To avoid these suboptimal solutions, we apply a global optimization technique that exhaustively finds all local optima and picks the best one. In particular, we employ the MLSL algorithm [36], which combines efficient local search (we used the limited-memory BFGS algorithm [37] with an open-source implementation [38]) with quasi-random starting points based on a Sobol low-discrepancy sequence [39–41]. The MLSL algorithm is distinguished by clustering techniques to avoid repeatedly searching the same local optimum, and is guaranteed to find all local optima in a finite number of local searches [36]. We also compared MLSL to several other global-optimization techniques, such as the DIRECT-L algorithm [42], via a free-software package implementing many optimization algorithms [43], and found MLSL to find the same optimum in a shorter time. Because the BFGS algorithm requires the gradient of the objective function (the FOM), a computationally efficient method for calculating the gradient based on the adjoint method was used. Adjoint methods allow the gradient to be computed in a time comparable to the time required in calculating the objective function and independent of the number of parameters (here, the front coating indices and thicknesses) [44].

3. Results and discussion

In order to understand the physically-relevant problem of maximizing short-circuit current in a solar cell subject to the AM1.5 solar spectrum, it is instructive to start at the simpler, opposite limit of zero bandwidth. In this zero-bandwidth limit, it is well known that 100% absorption can be achieved when the rate of radiative escape from a resonant cavity is equal to its rate of absorption [45, 46]; this is referred to as the Q -matching condition. Thus, it is predicted that the optimal design of Fig. 1 with an arbitrary number of front layers should be capable of reaching 100% absorption at any given wavelength, simply by employing a periodic Bragg-mirror structure to confine the light at that wavelength with the appropriate lifetime. For a bounded number of layers, on the other hand, 100% absorption should only be reached up to a certain wavelength λ_t , since for $\lambda > \lambda_t$ the absorption will be too small (corresponding to a high Q that cannot be matched by a small number of layers). Thus, the value of λ_t will be determined by the maximum possible value of the radiative Q achievable for a fixed number of front-coating layers and a fixed range of indices. The absorption and radiative Q must be large (the absorption length must be much larger than the silicon thickness, and the light must be trapped for a time much larger than the period) in order for it to be accurately described by a resonance process. For instance, consider a front coating design of a 3 layer quarter-wave stack. The absorption Q , due to the silicon layer with complex index of refraction $n(\lambda) + i\kappa(\lambda)$, is $n(\lambda)/\kappa(\lambda)$. The radiative Q of the quarter-wave stack is,

$$Q = \frac{4\pi t n(\lambda)}{\lambda} \frac{\sqrt{R}}{1-R} \quad (3)$$

where $n(\lambda)$ is silicon's real index, t is the silicon layer thickness, and the reflectance of the quarter-wave stack given by

$$R = \left| \frac{1 - n(\lambda)(n_2/n_1)^3}{1 + n(\lambda)(n_2/n_1)^3} \right|^2 \quad (4)$$

where n_1 and n_2 refer to the indices of the layers. It found that for $\lambda_t > 1000.2$ nm the absorption Q is too large and Q -matching becomes impossible.

This prediction is tested numerically using the simulation framework discussed in the previous section; the results are shown in Fig. 4. For zero front coating layers, the large dielectric contrast between silicon and air allows effective transmission at only a handful of wavelengths (due to Fabry-Perot oscillations). For a single front coating, the absorption is given by $A = 1 - |r|^2$, where:

$$r = -\frac{e^{i\phi_1}(e^{i\phi_0} + r_0 r_1) + r_1 e^{i\phi_0} + r_0}{e^{i\phi_1}(r_0 e^{i\phi_0} + r_1) + r_0 r_1 e^{i\phi_0} + 1} \quad (5)$$

where layer -1 is air, layer 0 is the front coating, and layer 1 is the silicon; n_j is the real part of the refractive index of layer j , κ_j is the imaginary part, t_j is the thickness, λ the vacuum wavelength. At normal incidence, $\phi_j = 4\pi(n_j + i\kappa_j)t_j/\lambda$ and $r_j = (n_j + i\kappa_j - n_{j-1} - i\kappa_{j-1})/(n_j + i\kappa_j + n_{j-1} + i\kappa_{j-1})$ are the phases and Fresnel reflection coefficients [47].

The reflectivity can be divided into three regimes, depending on the fractional absorptance of the silicon layer:

- $|e^{i\phi_1}| \ll r_1$: here, virtually no light reaches the back reflector. Thus, the problem reduces to that of creating an anti-reflection coating between two semi-infinite regions, as discussed in Ref. 47. The reflection is now written as $r \approx -(r_1 e^{i\phi_0} + r_0)/(r_0 r_1 e^{i\phi_0} + 1)$.
With the proper choice of front-coating layer index and thickness, 100% transmission

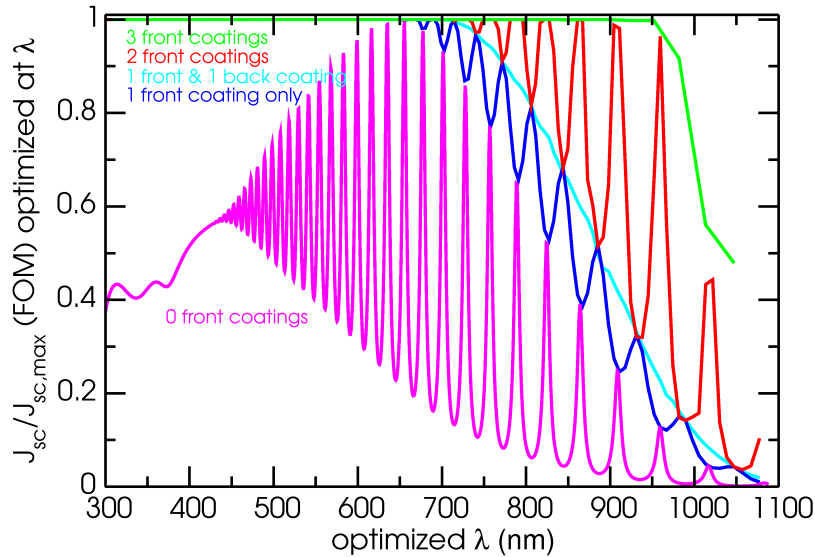


Fig. 4. (Color online) The generated current efficiency as a function of wavelength; the parameters for each structure class (e.g., two front layers) are optimized separately at each wavelength i.e., this is the reflection spectrum for many different structures. Note that structures with one or more front layers display full absorption up to a particular wavelength λ_c that increases with the number of front layers.

(and thus, 100% absorption), can be achieved at a single λ [47]. This is exhibited by our results up to a wavelength of 675 nm in Fig. 4.

- $|e^{i\phi_1}| \approx r_1$: here, partial absorption after one pass through the cell means that interference between reflections from the front and back surfaces is possible. Furthermore, it is impossible to fully optimize this system by controlling a single, uniform dielectric layer. Mathematically, solving for the root of the numerator of Eq. (5) requires three independent variables because it has four linearly independent terms. If only two independent variables are present, a constant value is added to a term which rotates in the complex plane. This results in Fabry-Perot-type oscillations, which are seen in Fig. 4 for wavelengths ranging from 675 to 1050 nm. However, these Fabry-Perot oscillations can be suppressed with an additional single back layer, illustrated in Fig. 1(c), which shifts the Fabry-Perot oscillations of the silicon slab to a maximum at each λ . To enhance light-trapping at wavelengths where the absorption length of silicon is large, the optimal index of the front coating is the maximum allowed value. Because of the π -phase shift from this layer, the Fabry-Perot peaks are shifted by half a period with respect to the zero front-coating FOM. This trend continues with the two front-coating FOM, there are two π -phase shifts realigning the peaks with the zero front-coating FOM. Finally, note that the onset of these oscillations is red-shifted as the number of front coatings increases, from 675 nm for one front layer, up to 725 nm for two front layers, and 950 nm for three front coatings due to improved light-trapping with an increase in the number of front coatings, as predicted above.
- $|e^{i\phi_1}| \rightarrow 1$: here, the absorption strength is virtually nil, and Q -matching cannot be achieved without a number of front layers proportional to $\log(1 - |e^{i\phi_1}|)$, since the maximum reflectivity goes exponentially with the number of layers [46]. Mathematically, the

amplitude of the reflection coefficient $|r|$ will approach unity. This limit is approached on the right-hand side of Fig. 4.

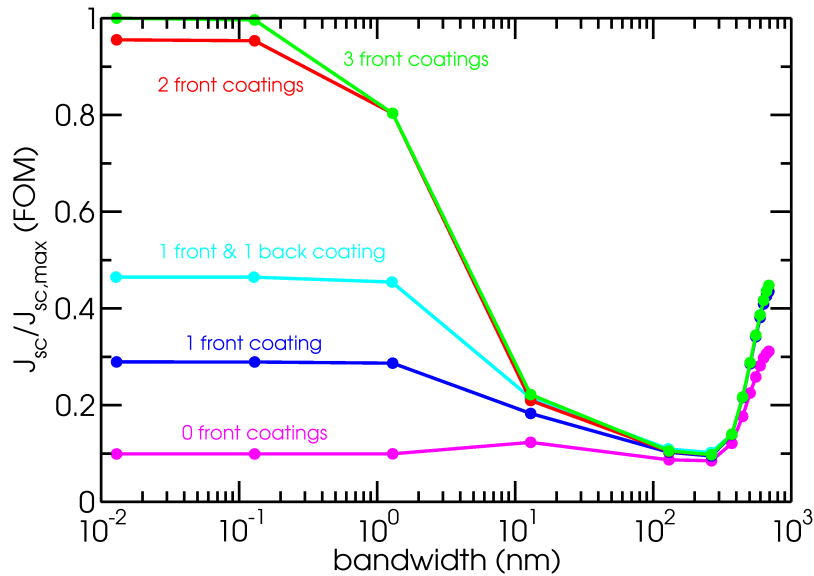


Fig. 5. (Color online) The generated current efficiency versus the bandwidth of incoming radiation; for bandwidths up to 265 nm the central wavelength is 902.8 nm, for bandwidths above 265 nm, the maximum wavelength is fixed, while the minimum wavelength is decreased (which corresponds to a blue shift of the central wavelength).

Next, consider what happens to the FOM as the window of absorption wavelengths expands from zero up to the width of the usable solar spectrum for silicon-based solar cells (300–1108 nm). The results for optimized structures with 0–3 front layers are plotted in Fig. 5; three distinct bandwidth regimes can be seen. First, the smallest bandwidths (below 1 nm) are similar to the zero bandwidth problem plotted in Fig. 4, for $\lambda = 902.8$ nm. Since the absorption length of silicon at $\lambda = 902.8$ nm is about $50 \mu\text{m}$, several front layers are necessary to increase the radiative Q for Q -matching to be achieved. Second, it is evident that Q -matching starts to break down for bandwidths greater than 1 nm. That is because Q -matching relies on the accuracy of coupled-mode theory, which assumes a narrow-bandwidth cavity (i.e., one that is weakly coupled to losses so that its decay rate is long compared to the optical period) [46]. When that assumption is violated, it is no longer possible to achieve 100% absorption over the entire bandwidth; the severity of the violation dictates the extent of the decrease in the average absorption. Third, the increase in the FOM for large bandwidths (above 265 nm) demonstrate that absorption can be boosted by blue-shifting the central wavelength and adding in more wavelengths for which even a thin film is optically thick.

Now let us consider the problem of absorption over the whole solar spectrum in more detail. The absorption spectra of optimized thin-film crystalline silicon solar cells ($t = 2 \mu\text{m}$) are plotted in Fig. 6 for structures with no front layers [based on Fig. 1(a)] as well as optimized structures with 1–3 front layers [based on Fig. 1(b)]. It is evident that the optimal design employs a peak absorption around 450 nm, which represents a trade off between the shorter absorption lengths at smaller wavelengths [illustrated in Fig. 2(a)] and the greater current weights at longer wavelengths [illustrated in Fig. 2(b)].

The values of the front coating indices, thicknesses and figure of merit for the optimized

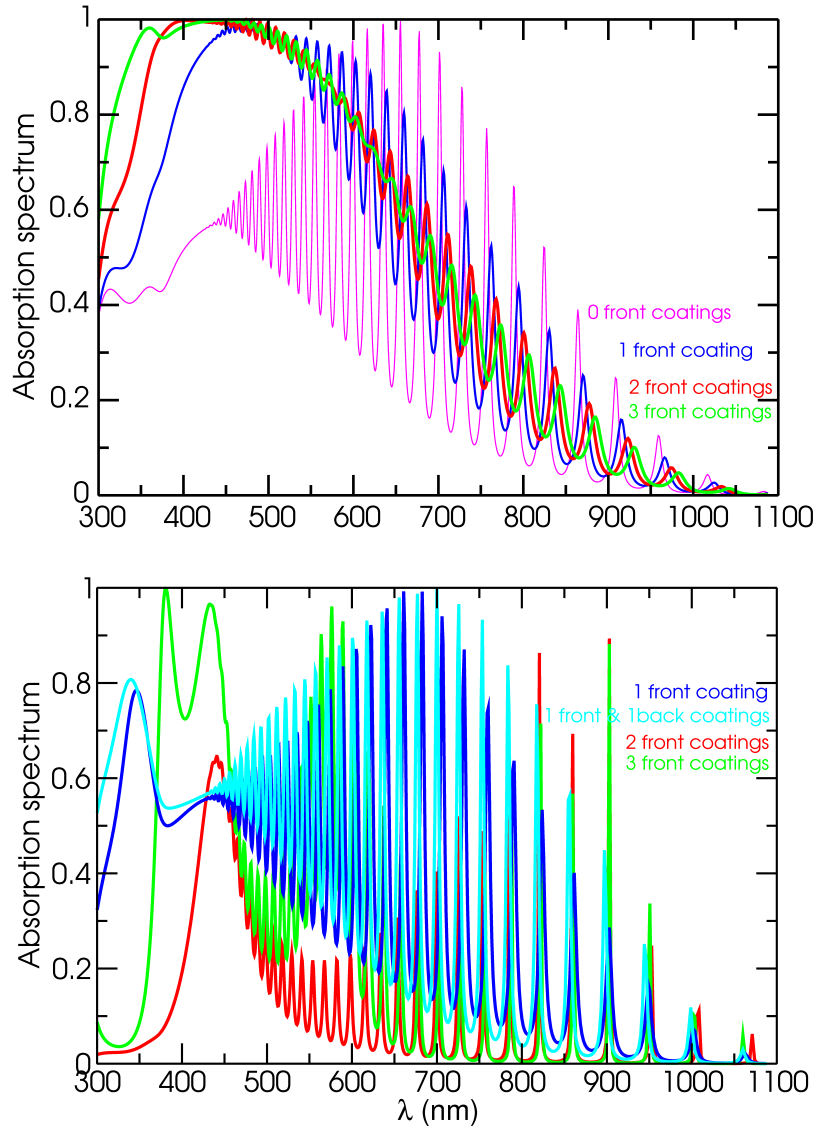


Fig. 6. (Color online) Absorption spectrum over the full absorbing bandwidth for a thin-film crystalline silicon solar cell ($t = 2\mu\text{m}$). (a) Optimized over the full bandwidth and (b) optimized only at $\lambda = 902.8$ nm.

structures of Fig. 6 are listed in Table 1. The layers slowly increase in index towards the silicon layer, consistent with the intuition that a front layer with a smoothly increasing index profile would allow 100% transmission to the silicon layer. (The optimal front coating is *not* a total transmission AR coating, however, because it must act as a partially silvered mirror, particularly in the narrow-bandwidth limit). Moreover, as one might expect, each of the optimized front coatings generates destructive interference between reflections from their front and back at the central frequency (corresponding to a phase shift $\approx \pi$). In addition, the optimized structure for

Table 1. Table of optimized front-coating designs for one, two, and three front-coating layers, as in Fig. 1(b). Layers are ordered from closest to air. Coating thickness d in units of nm.

Number of front coating layers	1		2		3	
Figure of merit	0.439		0.455		0.458	
Layer indices and thicknesses	n	d	n	d	n	d
	2.08	60.0	1.54	82.3	1.34	91.0
			3.02	38.9	2.39	53.1
					3.79	29.9

the 1 front-coating and 1 back-coating structure was found to be $d = 60.0$ nm, $n = 2.070$ and $d = 20.7$ nm, $n = 1$, respectively; the corresponding figure of merit was 0.440, which is nearly the same as the 1 front-layer structure. While it appears that the back layer is not much use in a broad bandwidth problem, for a lossy metal backing the back layer can help to reduce unwanted absorption loss.

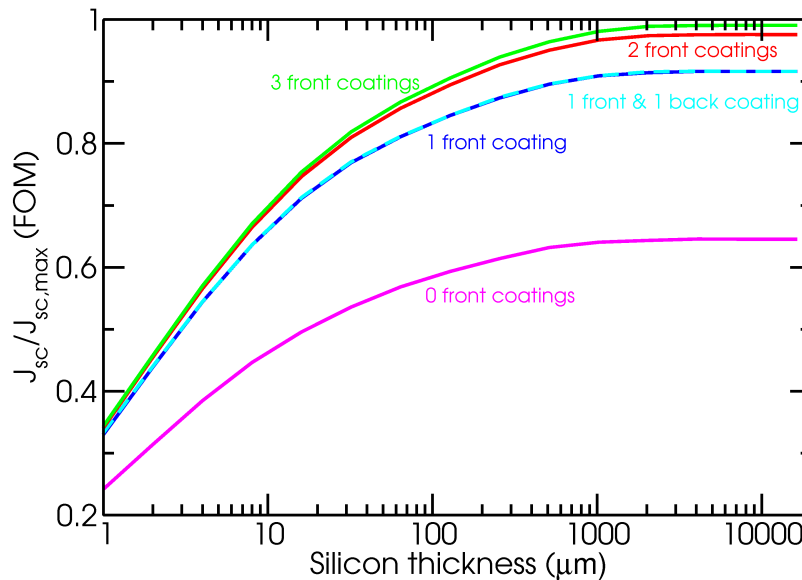


Fig. 7. (Color online) Figure of merit versus silicon slab thickness, both for a structure with no front layer (based on Fig. 1(a)) and optimized structures with 1-3 front layers (based on Fig. 1(b)).

Let us consider the effects of thickness over a broad range of silicon thicknesses, from thin-film values of $1 \mu\text{m}$ to effectively semi-infinite values of 1.6 cm. For the case of $2 \mu\text{m}$ -thick thin films, increasing the number of front coatings from zero to one yields a relative increase of 39.8% to the FOM (from 0.314 to 0.439); adding a second front coating yields a relative increase of 3.6% to the FOM (to 0.455), and adding a third coating yields another relative increase of 0.6% (to 0.458). For wafer-based cell thicknesses, e.g., $256 \mu\text{m}$, increasing the number of front coatings from zero to one yields a 42.3% relative increase in the FOM (from 0.614 to 0.874); adding a second coating yields a relative increase of 6.1% (to 0.927); adding a third coating yields a relative increase of 1.3%. For effectively semi-infinite cells, e.g., 1.6 cm, in-

creasing the number of front coatings from zero to one yields a 42.0% relative increase to the FOM (from 0.645 to 0.916); adding a second coating yields a 6.6% relative increase (to 0.976); and adding a third coating yields a relative increase of 1.5% (to 0.991). These numbers illustrate the diminishing returns associated with adding more front layers for the broad-bandwidth problem. Thus, it comes as no surprise that increasing the number of layers to 10 provides no more than a 0.5% relative improvement over 3 layers, for a 2 μm -thick thin film. This result contrasts strongly with the zero-bandwidth results shown in Fig. 4, where each additional layer results in a large improvement (up to the critical number required for Q -matching). As discussed previously, this crossover occurs in the intermediate bandwidth regime (1-265 nm), as illustrated in Fig. 5. Furthermore, it is intriguing to note that both the relative and absolute gains are greater for the thicker, wafer-based cells than for thin films. This can be explained by noting that the long wavelengths that are poorly absorbed by thin cells with a single front coating layer can be absorbed well by thick cells. Thin-film cells are simply too thin to ever strongly absorb longer wavelengths without compromising their shorter-wavelength absorption, whereas wafer-based cells are free from this limitation. This assertion is also supported by the absorption spectra of Fig. 6, in which the optimal designs display increasing absorption with the number of front coatings primarily at shorter wavelengths.

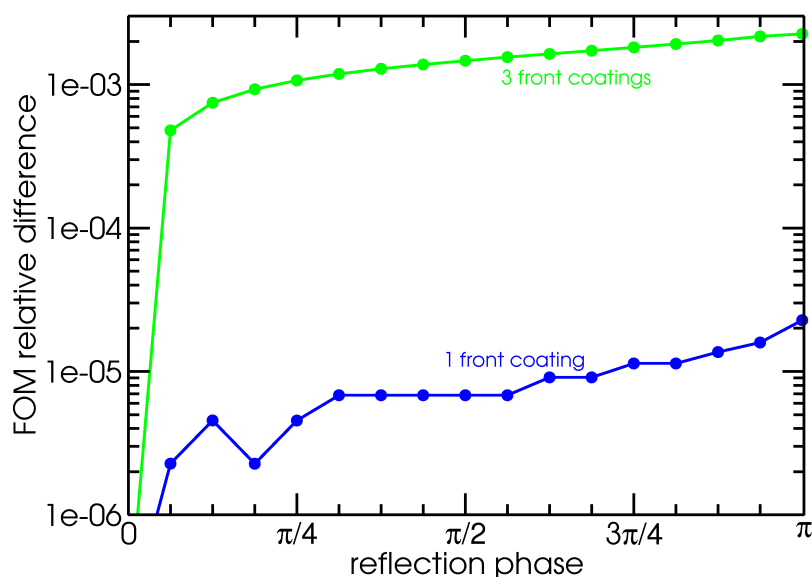


Fig. 8. (Color online) Relative difference in figure of merit, calculated as the relative difference of the FOM for the optimized structure with the given reflection phase and the FOM of a structure with the given phase and with the optimized front coating of the reference phase ($\theta = 0$), versus the Fresnel reflection amplitude phase of the silicon-metal boundary.

For thin films exposed to the full solar bandwidth, the FOM and the optimal front-coating design are insensitive to the type of back-reflector. Considering only lossless planar back-reflectors, limiting ourselves to 100% specular reflection, different back-reflector schemes (different materials, Bragg mirrors, etc.) are distinguished only by the phase θ of the reflected wave. However, we show here that the FOM is essentially independent of the back-reflector phase. Of course, the phase generally varies with wavelength, but it is sufficient to demonstrate this independence using a constant phase (employing $n = 3.5$ for silicon and a constant imaginary index for the back-reflector, as explained previously). The FOM relative difference, which measures the influence of the back-reflector on the absorption, versus θ is shown in Fig. 8. For

each back reflector, the FOM must be calculated twice, once with the optimal front coating design for that particular back reflector and again with the optimal front coating design of the original back reflector ($\theta = 0$). We find the relative difference to be very small, less than 0.0001 for the single front-coating structure.

This robust behavior is enabled by the large bandwidth of the incident flux that averages out the change at each λ ; while the location of the absorption peaks can shift, the total absorbed flux will show almost no change as illustrated in Fig. 9. Therefore, metallic backings where absorptive losses are present, such as aluminum, can be replaced with a lossless backing, such as a 1D Bragg mirror.

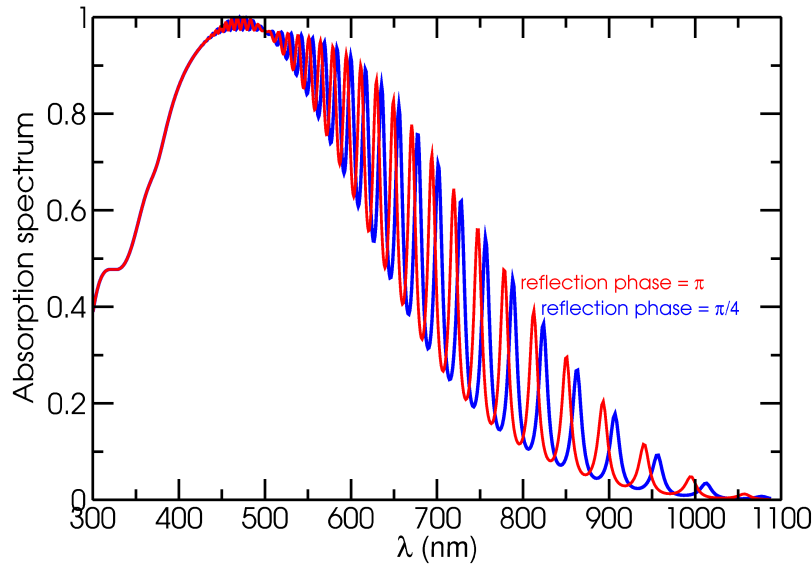


Fig. 9. (Color online) Absorption spectrum of the optimized single front coating reference structure for two different back-reflector phases ($\pi/4$ blue, and π red). The back-reflector simply shifts the peaks, but has a only negligible effect on the integrated absorption or the FOM.

Though the refractive index bounds exceed those of common materials, we find that optimization with a smaller range of indices, 1 to 3, does not significantly change the FOM. In fact with a restricted refractive index range, the 3 front-coating structure FOM decreases by less than 0.5% (0.458 to 0.456). (The thicknesses and indices of each layer of the optimal structure changes, even those in the original structure with an index less than 3.) Moreover, if the indices of the front coatings were fixed to values corresponding to experimentally accessible materials and allow only the thicknesses to vary, we find the FOM changes very little, less than a 0.2% relative change in all three cases. If the front coatings were chosen to be MgF_2 , ZrO_2 , and TiO_2 with indices 1.38, 2.39, and 3.9 yields a FOM of 0.457, a relative decrease of just 0.2% compared to the optimal 3 front-coating structure in Table 1. These specific materials are insulators with large electronic bandgaps, and therefore exhibit negligible absorption over the solar bandwidth considered. The Kramer-Kronig relation predicts that the real index must have very small dispersion as well; for example, the real index of MgF_2 ranges from 1.380 at $\lambda = 825$ nm to 1.398 at $\lambda = 300$ nm.

The designs presented in this work are also robust against small fabrication errors. For example, vertical LPCVD systems can routinely achieve uniformities of better than 2% in the deposition of silicon nitride [48]. For our designs, that corresponds to an error of ± 2 nm or

less. However, it's clear that this corresponds to a variation in the objective function of less than 0.001, which shows that our designs can tolerate typical experimental errors.

4. Conclusions and future work

In conclusion, the proper design and optimization of front coatings of crystalline silicon solar cells has a critical impact on their overall efficiency. For narrow bandwidths in optically thin absorbing media, it is best to employ the Q -matching condition. The benefit of additional layers is large until this criterion is achieved. When the bandwidth is equal to the portion of the solar spectrum that can be absorbed by crystalline silicon, it is necessary to take a different approach. It is found that just two optimized layers (going from low to high index) suffice to realize most of the benefits of a multilayer front coating design. The relative improvement associated with going from one to two front layers is 6.1% for 256 micron-thick wafer-based cells, and only 3.6% for 2 micron-thick thin-film cells. This result comes about because weak absorption of near-IR by planar thin films limits the utility of broadening the bandwidth of strong transmission through the front coating. Adding a third layer yields relative improvements of 1.3% and 0.6% for wafers and thin films, respectively; the results for four or more layers show even smaller additional improvements. The broad bandwidth results achieved do not depend on the type of back-reflector used. Also note that the effect of non-radiative recombination for thin-film cells is simply to multiply the short-circuit current with a pre-factor that is independent of the front-coating design. That is because in a thin-film solar cell geometry, the ratio between the contact spacing and the cell thickness is generally 1000:1 or greater. As a result, the system can be treated in a quasi-2D approximation, allowing the charge collection efficiency to be treated independently of the front-coating design. For example, one could construct a model of contact strips spaced by a distance D with a minority carrier collection probability $P_c = e^{-|y|/L_D}$, where y is the distance of the electron-hole pair generation from the nearest contact, and L_D is the minority carrier diffusion length (the diffusion length for majority carriers is infinite by assumption). Spatial averaging yields an overall pre-factor of $(2L_D/D)[1 - e^{-D/2L_D}]$. For a minority carrier diffusion length of 10 mm and a contact spacing of 1 mm the pre-factor is 97.5%. Radiative recombination can be calculated following the approach outlined in Ref. [49]. Under short-circuit conditions, the radiative recombination current cancels with the thermal current, leaving only the J_{sc} term.

Future work should address the weak absorption of near-IR by thin-film cells by optimizing 2D or 3D patterns introduced in the front or back coatings, whose purpose is to convert incoming normal incidence radiation to transversely propagating, waveguided modes that achieve a longer optical path within the absorbing layer. Ref. 34 demonstrates how photonic crystals can improve reflection off of a realistic, lossy metal (such as aluminum) while also redirecting light in the near-IR into guided modes. They should also enable front coatings to improve absorption over a broader wavelength range than would be possible in a planar structure. This combined approach may result in efficiency gains equal to the sum of their contributions. It may also be beneficial to consider the effect of non-normally incident radiation, one could maximize the performance over a range of incident angles [24]. The results outlined in this work also provide a clear baseline to which more complex, non-planar photonic structures ought to be compared.

Acknowledgments

The authors thank M. Qi, A. Farjadpour, and M. Padi for their help. This work was supported in part by the Materials Research Science and Engineering Center Program of the National Science Foundation under Grant No. DMR 02-13282, and the Army Research Office through the Institute for Soldier Nanotechnologies under Contract No. DAAD-19-02-D0002.